

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF OREGON

JODY BLAZER,)	
)	No. CV 07-109-HU
Plaintiff,)	
)	
v.)	
)	FINDINGS AND
MICHAEL J. ASTRUE,)	RECOMMENDATION
Commissioner, Social)	
Security Administration,)	
)	
Defendant.)	
_____)	

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1 Attorneys for defendant

2 HUBEL, Magistrate Judge:

3 Jody Blazer brings this action pursuant to Section 205(g) of
4 the Social Security Act (the Act), 42 U.S.C. § 405(g), to obtain
5 judicial review of a final decision of the Commissioner of the
6 Social Security Administration (Commissioner) denying his
7 application for disability insurance benefits under Title II of the
8 Social Security Act, and Supplemental Security Income benefits
9 under Title XVI of the Social Security Act.

10 **Procedural Background**

11 Mr. Blazer filed an application for benefits on August 30,
12 2001, alleging disability since February 2001 based on
13 musculoskeletal impairments, headaches, difficulty sleeping, and
14 hand tremors. The application was denied initially and upon
15 reconsideration. On January 26, 2004, after an administrative
16 hearing, Administrative Law Judge (ALJ) Jean Kingrey issued a
17 decision finding Mr. Blazer not disabled. When the Appeals Council
18 declined to grant review, the ALJ's decision became the final
19 decision of the Commissioner. Mr. Blazer requested review by the
20 United States District Court. By stipulation, the case was reversed
21 and remanded for further proceedings on January 10, 2005. The
22 stipulated order states that upon remand, the ALJ is to further
23 develop the record on the effects of Mr. Blazer's limitations,
24 including re-evaluating the medical evidence, making a new
25 determination on disability, and issuing a new decision.

26 A second hearing was held before ALJ Kingrey on August 10,
27

1 2006. The ALJ took testimony from a medical expert (ME) and a
2 vocational expert (VE). On November 22, 2006, the ALJ issued a
3 decision finding Mr. Blazer not disabled.

4 Mr. Blazer was born in 1958. He was 42 years old at the
5 alleged onset of disability and 48 years old at the time of the
6 ALJ's second decision. Mr. Blazer has an 11th grade education. His
7 past relevant work is in the logging industry, including jobs as a
8 choker setter, chaser, rigging slinger, and tree planter. Mr.
9 Blazer meets the insured status requirements of the Social Security
10 Act through March 31, 2005. He has not worked since February 2001.

11 **Medical Evidence**

12 On February 1, 2001, while working as a choker setter, Mr.
13 Blazer slipped and fell, injuring his lower back. Tr. 278. Upon
14 examination, Douglas Orsel, M.D., concluded that Mr. Blazer showed
15 moderate, diffuse lumbar tenderness and diminished range of motion
16 in all planes secondary to pain. Id. There were no sensory
17 deficits, motor strength was normal, and straight leg raising was
18 positive. Id. Dr. Orsel diagnosed acute lumbar strain and Mr.
19 Blazer was given analgesics. Id. He was placed on modified work
20 with no bending or stooping and no lifting over five pounds. If no
21 light duties were available, he was to be considered temporarily
22 disabled. Id.

23 Mr. Blazer was followed by Robert Gerber, M.D., in the
24 Occupational Medicine Department of NBMC Medical Centers. On
25 February 8, 2001, Dr. Gerber noted that Mr. Blazer complained of
26 pain in his "low pelvis," trouble sleeping because of pain, and
27

1 some mild urinary incontinence. Tr. 294. Physical examination
2 showed tenderness just to the left of midline at about L5-S1. Id.
3 Dr. Gerber thought radiculopathy was a concern, "in that he is
4 having symptoms involving his bladder and also some constipation."
5 Id. Dr. Gerber ordered an MRI and started him on physical therapy,
6 as well as prednisone for five days. Id.

7 On February 8, 2001, Mr. Blazer had an MRI of the lumbar spine
8 to investigate complaints of bilateral radiculopathy. Tr. 283. The
9 MRI showed diffuse disk bulging at L1-2, flattening the anterior
10 aspect of the thecal sac, especially on the left; relatively severe
11 diffuse disk bulge at the L3-4 level with flattening of the thecal
12 sac and mild to moderate spinal stenosis; focal disk protrusion at
13 L4-5, causing moderately severe spinal stenosis with mass effect on
14 the thecal sac; and less severe central focal bulge at L5-S1 that
15 did not cause significant spinal stenosis. Tr. 283-84.

16 Examining orthopedic surgeon Steven Schilperoort, M.D.,
17 interpreted the MRI on March 29, 2001 as evidence of degenerative
18 disc disease at L1-2, L3-4, and L4-5, with bulging at all levels
19 and moderately severe spinal stenosis based on a combination of
20 facet degenerative changes, ligamentum flavum hypertrophy and disc
21 bulge with a mass effect on the thecal sac. Tr. 298. Less severe
22 disc bulge was noted at L5-S1. Id.

23 On February 13, 2001, Mr. Blazer was seen by Dr. Gerber. Tr.
24 292. He reported being neither better, nor worse. Id. He had some
25 urinary urgency and continuing constipation. Id. Dr. Gerber
26 reviewed the MRI and noted that it showed a diffuse disk bulges
27

1 impinging upon the thecal sac, as well as spinal stenosis at several
2 sites. Id. Dr. Gerber prescribed Celebrex and Zanaflex. Id.

3 On February 14, 2001, Dara Parvin, M.D., an orthopedic
4 surgeon, examined Mr. Blazer. Upper extremities showed negative
5 impingement, with full range of motion of both upper extremities.
6 Tr. 326. There was a slight amount of tenderness in the lumbar
7 spine and a moderate amount of spasm in the paraspinous muscles.
8 Forward flexion was about 45 degrees and extension was about 5 to
9 10 degrees. Lateral rotation was painful. Id. Mr. Blazer had full
10 range of motion of his hips, knees and ankles. Straight leg raise
11 was negative. Id.

12 On February 21, 2001, Dr. Gerber noted that Mr. Blazer
13 reported he was beginning to improve and had no weakness of the
14 lower extremities. Id. Dr. Gerber wrote that Dr. Parvin wanted to
15 continue conservative therapy, including physical therapy, which
16 Mr. Blazer was still attending. Id. Mr. Blazer said he was still
17 having trouble sleeping. Id. He was continued on Celebrex and
18 Empirin #3, and was noted to be "much more comfortable than on
19 previous visits." Id.

20 On March 2, 2001, Mr. Blazer told Dr. Gerber the pain down his
21 right leg had improved markedly and was now minimal. Tr. 288. He
22 also reported improved control and "power," with decreased pain,
23 but still some low back pain. Id. He had some tenderness in the
24 lumbar area, but good motor strength except with heel raise on the
25 right. Id. Dr. Gerber's diagnostic impression was acute low back
26 strain, radiculopathy, and right sciatica. Id. He was continued on

1 Celebrex. Id.

2 On March 6, 2001, Dr. Parvin wrote a chart note stating that
3 on February 26, 2001, Mr. Blazer had contacted the office with
4 complaints of gradually worsening numbness and weakness in the
5 right leg. Tr. 316. Mr. Blazer was advised to use a Medrol Dose-Pak
6 and present to the emergency room if he had any worsening of
7 numbness and weakness. Tr. 317. Dr. Parvin wrote that as of March
8 6, 2001, Mr. Blazer stated that the Medrol Dose-Pak had given him
9 some relief of the back pain, but that the numbness and weakness
10 were neither better, nor worse. Tr. 317. He complained of constant
11 numbness and weakness in the right leg, not previously present. Id.

12 Upon examination, Mr. Blazer had no paraspinous spasm or
13 tenderness. Tr. 317. There was some difficulty with lumbar
14 extension, in that it caused some radicular symptoms in the lower
15 extremities bilaterally, right greater than left. Forward flexion
16 caused back pain. Id. Bilateral upper extremities had adequate
17 range of motion, with no tenderness to palpation. Hips, knees, feet
18 and ankles had adequate nontender range of motion, no tenderness to
19 palpation. Id. Neurologically, Mr. Blazer had full strength in the
20 upper and lower extremities, except with left dorsiflexion, which
21 was variable. Id. There were two Waddell's signs.¹ Dr. Parvin wrote
22 _____

23 ¹Waddells signs are a group of eight physical signs (skin
24 discomfort on light palpation; tenderness across multiple somatic
25 boundaries; report of pain when the top of the head is pressed;
26 pain reported on rotating the shoulders and pelvis together;
27 absence of pain on distracted straight leg raise; stocking
distribution of sensory loss or sensory loss in an entire
extremity or side of the body; weakness that is jerky, with
intermittent resistance; and exaggerated painful response to a

1 that "it is felt that the patient does have a baseline of weakness
2 on the right that was not initially present on his examination from
3 2/14/01." Tr. 318. Dr. Parvin diagnosed multi-level variable
4 degrees of stenosis in the lumbar spine, both centrally and in the
5 neuroforamina, secondary to disk herniation/protrusion, with
6 progressive radiculopathy (weakness, numbness and pain). Tr. 318.

7 In Dr. Parvin's opinion, Mr. Blazer presented a somewhat
8 "confusing picture," with multiple levels of pathology. Id. The L1-
9 2 level did not appear to be contributing to his current
10 radiculopathy, and it was questionable whether the L5-S1 level was
11 significantly involved. Id. The L3-4 and L4-5 levels appeared to be
12 the most significantly involved levels. Tr. 319.

13 Dr. Parvin recommended a decompressive procedure to address
14 the involved lumbar spine, but was not willing to offer Mr. Blazer
15 surgical intervention based only on MRI findings because of the
16 confounding factors on examination, including variable effort and
17 some Waddell's signs. Id. However, despite the variable effort and
18 the Waddell's signs, Dr. Parvin opined that Mr. Blazer "does have
19 underlying weakness and numbness." Id.

20 On March 19, 2001, Mr. Blazer reported that strength in his
21 right leg waxed and waned, and that he had pain radiating down the
22 leg and across the bottom of his right foot. Tr. 286. He was not
23 currently on medication, but was strongly encouraged by Dr. Gerber
24 to consider an anti-inflammatory and to consider surgery. Id. At

25 _____
26 stimulus), first described by Waddell G, McCulloch JA, Kummel E,
27 and Venner RM in "Nonorganic Physical Signs in Low-Back Pain,"
Spine 5:117-25 (1980).

1 that time, Mr. Blazer changed his primary treating physician to Dr.
2 Parvin. Id.

3 On March 29, 2001, Mr. Blazer was given an independent medical
4 evaluation by Steven Schilperoort, M.D., an orthopedic surgeon. Tr.
5 295. Dr. Schilperoort reviewed medical records from Doctors Orsel,
6 Gerber and Parvin. On physical examination, Mr. Blazer was
7 extremely well conditioned with good posture, except that his right
8 shoulder was down and there was evidence of a mild left thoracic
9 scoliosis. Tr. 297. He had a notable limp on the right. Id. Heel
10 ambulation showed collapse on the right. Id.

11 On physical examination, Mr. Blazer's lumbar flexion was 62
12 degrees; extension was 4 degrees; and lateral flexion was 32
13 degrees on the right and 42 degrees on the left. Tr. 298. Thoracic
14 flexion was 30 degrees; rotation was 20 degrees on the right and 14
15 degrees on the left. Hip flexion was 120 degrees on the right and
16 140 degrees on the left; hip extension was 30 degrees on the right
17 and 0 degrees on the left.

18 Dr. Schilperoort diagnosed multilevel spondylosis changes at
19 L1-2, L3-4, L4-5, and L5-S1, most marked at L4-5, with combined
20 facet degenerative joint disease, degenerative disc disease, disc
21 bulge secondary to the degenerative disc disease, and variable
22 levels of spinal stenosis, moderately severe at L4-5, all
23 evolutionary, degenerative in nature, preexistent and not causally
24 related to the February 1, 2001 fall. Tr. 299. Dr. Schilperoort
25 noted "clear evidence of real collapse of tibialis anterior on heel
26 ambulation," but the hypesthesia noted in the right lower extremity
27

1 did not follow recognizable anatomic lines, and Dr. Schilperoort
2 felt it to be invalid. Lumbar spine extension was severely limited,
3 and "this is felt to be a real limitation and based on the
4 multilevel facet degenerative changes." Dr. Schilperoort differed
5 with Dr. Parvin's assessment, concluding that the bulges
6 contributory to the spinal stenosis were degenerative rather than
7 traumatic, and that they should not be described as a herniation,
8 but rather as a bulge/protrusion. Id. In Dr. Schilperoort's
9 opinion, Mr. Blazer's current condition was based on preexisting
10 degenerative changes. Id. Dr. Schilperoort noted that "[v]irtually
11 the entire lumbar spine" had spondylotic changes which included
12 degenerative disc disease, degenerative disc bulging, ligamentum
13 flavum hypertrophy, facet degenerative joint disease with
14 osteophyte formation and, most particularly at L3-4 and L4-5,
15 significant spinal stenosis. Tr. 300.

16 On April 18, 2001, Mr. Blazer saw Dr. Parvin, who reviewed his
17 cervical and lumbar spine x-rays and MRI. Tr. 315, 312. X-rays of
18 the cervical spine revealed cervical spine kyphosis and some
19 instability with a listhesis at the C5-6 level, with disk-space
20 narrowing. Tr. 312. He also had some degenerative changes
21 throughout. Id. The lumbar spine x-rays and MRI revealed multi-
22 level variable degrees of stenosis, both centrally and in the
23 neuroforamina, secondary to disk herniations/protrusions, with
24 radiculopathy. Dr. Parvin diagnosed 1) lumbar spine stenosis with
25 radiculopathy; 2) cervical spine spondylosis with degenerative
26 changes, resulting in kyphosis; and 3) cervical spine instability,

1 C5-6, with disk-space narrowing, possibly contributing to radicular
2 symptoms in the upper extremities. Tr. 312.

3 Dr. Parvin discussed treatment options. Mr. Blazer had made up
4 his mind to accept his level of symptoms and function, stating that
5 he did not wish to consider surgical intervention. Id. For this
6 reason, he was advised that he should not undergo a CT myelogram,
7 as this was an invasive procedure, not advised except as a "road
8 map" for surgical intervention. Id.² Dr. Parvin noted that Mr.
9 Blazer would undergo an MRI scan of the cervical spine and then
10 would be reevaluated. Id.

11 On April 19, 2001, Dr. Parvin wrote a letter concurring with
12 Dr. Schilperoort's findings, and specifically noting that he did
13 not disagree with Dr. Schilperoort's conclusion that the bulges
14 should not be described as herniation. Tr. 313. Dr. Parvin believed
15 the use of the term "herniation" versus "bulge" or "protrusion" was
16 a "matter of semantics," and also felt that Mr. Blazer had
17 significant degenerative changes in his spine. Id. Dr. Parvin did
18 not feel the cause of the bulges/stenosis was traumatic, but did
19 feel that Mr. Blazer's symptoms represented, at least partly, "an
20 exacerbation that was brought on by his trauma." Id.

21
22 ²The record indicates that Mr. Blazer changed his mind about
23 proceeding with surgical intervention after deciding not to have
24 the CT myelogram because of a fear of injections. Tr. 315, 319.
25 Dr. Parvin observed that Mr. Blazer appeared "quite shaken" after
26 the appointment for the CT myelogram, and asked for two weeks to
27 consider the procedure. Tr. 320. Dr. Parvin advised him that
28 "time is of the essence when dealing with numbness or weakness,"
and "once numbness and weakness are present for greater than two
weeks, they are not reliably reversed with surgical
intervention." Id.

1 An MRI of the cervical spine done on April 23, 2001 revealed
2 no focal herniation or visibly significant spinal stenosis at C2-3,
3 C3-4, or C4-5; loss of disk height and "fairly prominent" disk
4 bulge marginal osteophyte complex extending across the posterior
5 disk space at C5-6, as well as indenting and flattening of the
6 anterior cord and a moderate degree of central stenosis with near
7 complete effacement of CSF about the cord periphery; similar hard
8 changes resulting in at least moderate central stenosis at C6-7,
9 with the cord being slightly flattened with near complete
10 effacement of CSF about the cord periphery and significant
11 encroachment upon the left neuroforamen. Tr. 331. Overall, there
12 was mild reversal of the normal cervical lordosis. Id.

13 Mr. Blazer was seen by Stephen J. McGirr, M.D., for a
14 neurological second opinion on April 25, 2001. Tr. 308. In a letter
15 to Dr. Parvin, Dr. McGirr noted that Mr. Blazer complained of
16 persistent back pain and leg pain, more so on the right. Tr. 308.
17 Pain was worsened by upright postures, flexion, prolonged sitting
18 or lifting and flexion or by twisting. Id. He had been tried on a
19 Medrol steroid dosepack with minimal improvement. Physical therapy
20 for three weeks had not led to improvement. Id. Dr. McGirr agreed
21 that the MRI of the lumbar spine showed degenerative changes at
22 multiple levels, although he disagreed with the diagnosis of
23 moderately severe spinal stenosis at L4-5. Tr. 310. Dr. McGirr
24 thought discography was required to assess whether Mr. Blazer had
25 sustained a substantial discal injury from the fall and to
26 corroborate that there was minimal to absent nerve root

1 involvement. Tr. 310.

2 Dr. Parvin saw Mr. Blazer on May 25, 2001. Tr. 304. Dr. Parvin
3 noted that Mr. Blazer had been followed for multiple problems,
4 including multi-level variable degrees of stenosis, both centrally
5 and in the neuroforamina, secondary to disk
6 herniations/protrusions, with progressive radiculopathy in the past
7 in the lower extremities. Dr. Parvin wrote that Mr. Blazer had also
8 complained of significant neck pain and bilateral upper extremity
9 numbness, tingling and weakness, as well as headaches, on his last
10 visit. MRI of the cervical spine had revealed significant stenosis
11 at the C5-6 and C6-7 levels, secondary to disk herniation and
12 degenerative changes. Tr. 365. Dr. Parvin wrote, "The patient's
13 listhesis contributes to this very severe stenosis." Id.

14 At the visit of May 25, 2001, Dr. Parvin wrote, Mr. Blazer was
15 "overwhelmed by his multiple problems." Tr. 304. Dr. Parvin
16 disagreed with Dr. McGirr's recommendation of discography because
17 "the diseased disks causing the diskogenic pain would not
18 necessarily be responsible for his radicular symptoms." Id.

19 After examination, Dr. Parvin's diagnostic impressions were 1)
20 severe cervical stenosis at C5-6 and C6-7, contributing to
21 gradually progressive left upper extremity radiculopathy; 2) lumbar
22 spine multi-level variable degrees of stenosis, both centrally and
23 in the neural foramina, secondary to disk herniation/protrusions,
24 with radiculopathy; and 3) cervical spine spondylosis and
25 instability with kyphosis, contributing to the cervical spine
26 problems. Tr. 305-06.

1 Treatment options were reviewed in detail. Tr. 306. Mr. Blazer
2 was noted to have some progressive radiculopathy in the left arm,
3 and Dr. Parvin acknowledged that the MRI of the cervical spine was
4 "quite impressive." Id. Dr. Parvin advised that the progressive
5 radiculopathy was an indication for surgical intervention, and
6 advised Mr. Blazer that he required urgent intervention consisting
7 of an anterior decompressive procedure followed by anterior fusion
8 and instrumentation and grafting from C5 to C7, to address the
9 stenosis and progressive symptoms. Id.

10 Dr. Parvin advised that the alternative to surgery was to
11 "accept his current level of dysfunction (pain, weakness, numbness)
12 as well as to accept and be comfortable with any future worsening
13 of his radicular symptoms that may occur with time." Id.

14 Mr. Blazer told Dr. Parvin that he had social and legal
15 obligations that prevented him from proceeding with surgical
16 intervention, and stated that he understood the consequences of
17 allowing continuation of the progressive radiculopathy. Id. He was
18 advised that allowing progression to continue might "result in
19 eventual myelopathic features and loss of the use of his arms or
20 legs." Id.

21 With regard to the lumbar spine and lower extremity radicular
22 symptoms, Mr. Blazer indicated the desire to accept and live with
23 his symptoms. Id. For that reason, his doctors had not further
24 pursued the diagnostic workup such as the CT myelogram, but Dr.
25 McGirr had gone forward with the diskogram. Id. Dr. Parvin agreed
26 with Dr. McGirr that Mr. Blazer required further workup prior to
27

1 proceeding with any intervention, and that the workup could consist
2 of a diskogram, but only to help to identify the source of
3 diskogenic pain, not the source of radiculopathy. Tr. 307. Mr.
4 Blazer said he wished to continue with his current level of
5 symptoms and did not wish any intervention at that time. Id.

6 Dr. Parvin agreed that Mr. Blazer could not work with his
7 current condition, noting, "If the patient accepts his progressive
8 upper extremity radiculopathy and wishes to live with his lower
9 extremity radicular symptoms, I feel that he will be significantly
10 disabled." Id.

11 On July 19, 2001, Mr. Blazer was evaluated by Anthony J. Smith
12 as part of an independent orthopedic evaluation for the Dispute
13 Resolution Section of the Workers' Compensation Division. Tr. 336.
14 Dr. Smith wrote that Mr. Blazer's current complaints were of
15 weakness, numbness and aching involving his left arm, shoulders and
16 right leg, as well as pain in his neck and low back. Tr. 338. Mr.
17 Blazer said he was also suffering from severe headaches, which he
18 had never had before. Id. He reported that his neck was always sore
19 and associated with pain across his shoulders extending down the
20 right arm along the ulnar side of the forearm and into the hand;
21 that his back was stiff and achy in the morning, with discomfort
22 extending into the right foot, but with symptoms decreasing after
23 he was up and around; and a hot, stabbing pain in the right lateral
24 thigh, with aching in the right calf. Id.; tr. 339.

25 Upon examination, Dr. Smith observed that Mr. Blazer's gait
26 showed a slight limp on the right side, and that he had difficulty
27

1 with heel walking on the right and was unable to walk on the toes
2 on the right side. His left shoulder was lower than the right,
3 indicating minimal left lower dorsal scoliosis. When he stood,
4 there was prominence of the right paravertebral musculature from
5 the mid thoracic to the mid lumbar area, but the region was not
6 tender to palpation. Tr. 339. Range of motion of the thoracic spine
7 was 30 degrees of flexion. Id. Right rotation was 18 degrees and
8 left rotation was eight degrees. Id. Range of motion of the
9 lumbosacral spine was 32 degrees of flexion, eight degrees of
10 extension, 22 degrees of right lateral flexion and 14 degrees of
11 left lateral flexion. Tr. 340.

12 Right hip flexion was 120 degrees. Left hip rotation was 130
13 degrees. Tr. 340. Sensory examination showed marked hypesthesia,
14 "practically anesthesia," in a stocking distribution from the
15 junction of the thigh with the abdomen downward to the toes,
16 including the sole of the foot. Tr. 340. On testing strength in the
17 lower extremities, there was giveaway in all muscles on the right
18 side from the hip down. Id. Dr. Smith found a loss of muscle
19 strength in the entire right lower extremity, which he felt
20 represented either giving way secondary to pain or lack of effort.
21 Tr. 341. Strength on the left was normal. Thigh and calf
22 circumferences were greater on the right than on the left. Id.

23 There was a positive Waddell's rotation test and compression
24 test. Tr. 339. Dr. Smith diagnosed ongoing low back and right leg
25 pain, following the injury of February 1, 2001 superimposed on
26 diffuse degenerative changes in the lumbosacral spine, and cervical
27

1 and left upper extremity symptoms that were not evaluated. Tr. 340.

2 Dr. Smith wrote,

3 I find it very hard to sort out the findings on Mr.
4 Blazer's back and leg. Some of the findings are of
5 concern such as his urinary urgency and mild
6 incontinence. The limitation of motion of the thoracic
7 spine is similar to that found by Dr. Schilperoort on
8 March 29th. The ranges of motion of the lumbar spine show
9 considerably less flexion, and left lateral flexion today
10 than when he was seen by Dr. Schilperoort. There are
11 functional findings today such as the positive Waddell's
12 signs and the failing the kneeling bench test³ which make
13 it difficult to evaluate his motion. He had collapse of
14 the anterior tibial muscle with heel walking when
15 examined by Dr. Schilperoort. This was not found by Dr.
16 McGirr. It was present to some degree today. Drs.
17 Schilperoort and McGirr found that he could ambulate on
18 his toes whereas today he was unable to on the right
19 side. Dr. Schilperoort found normal motor strength to
20 manual testing whereas today he had givingway [sic] of
21 all muscles in the right lower extremity. Today there was
22 some depression of the right ankle jerk which appeared
23 definite. Mr. Blazer's history indicates that he had no
24 similar problems before his injury. I believe that this
25 represents a combined condition of the trauma
26 superimposed on pre-existing degenerative changes with
27 precipitation of his symptoms by the trauma but
28 perpetuation of them because of the pre-existing
degenerative changes. I would agree with Dr. Schilperoort
that the major contributing cause of his present symptom
complex is the degenerative changes rather than the
injury itself.

Tr. 340-41.

Dr. Smith thought Mr. Blazer was limited in the repetitive use
of his spinal area as a result of the combination of the
degenerative change and the acute injury. Tr. 341.

Dr. Smith's residual functional capacity assessment was that

³In the bench test, also called Burn's test, the patient is asked to kneel on a chair or bench 12" high and touch the floor. Because the knees are bent, patients with true back pain or sciatica should be able to do the test without difficulty; those with nonorganic back pain usually cannot. Kiester PD, Duke AD "Is It Malingering or Is It Real?" Postgrad Med 1999;106(7):77-84.

1 Mr. Blazer could lift and carry 15 pounds frequently and 25 pounds
2 occasionally. Dr. Smith noted that Mr. Blazer thought he could sit
3 30-45 minutes at a time, stand for 10-20 minutes, and walk for 20
4 minutes; Dr. Smith thought it would "take a physical capacities
5 evaluation to confirm this." Tr. 341. Dr. Smith thought Mr. Blazer
6 was permanently precluded from activities requiring frequent
7 stooping, crawling and twisting, but that he was able to climb,
8 reach, crouch, kneel, balance, push and pull frequently. Dr. Smith
9 wrote, "He could probably work the same number of hours now that he
10 did prior to his injury but today's examination suggests that it
11 would have to be at a much lower level of activity, possibly even
12 at a sedentary level." Id. Dr. Smith did not think Mr. Blazer
13 passed the "validity test of the AMA Guides on the relationship of
14 total sacral motion to straight leg raising," and reported that he
15 had "non-anatomic findings related to numbness in the right leg and
16 weakness in the right leg." Tr. 342. The circumferential
17 measurements of the thigh and calf were larger on the right, which
18 suggested a lack of significant weakness in the muscles of the
19 right leg. Id. Other non-anatomic signs included positive Waddell
20 tests and failure of the kneeling bench test. Id.

21 _____ On January 12, 2002, Mr. Blazer was evaluated by Arin Braseth,
22 M.D. Tr. 349. Dr. Braseth diagnosed cervical pain and chronic
23 headaches, per report, with MRI evidence of cervical herniation of
24 discs; chronic back pain, for which he took aspirin, with limited
25 benefit, but was unable to have further evaluations because of lack
26 of finances. Tr. 351-52. Dr. Braseth wrote, "The pain is noted to

1 be out of proportion to his examination." Tr. 352.

2 An X-ray of the lumbar spine done on January 14, 2002, showed
3 mild degenerative disc disease at L5-S1. Tr. 353.

4 On April 3, 2002, Martin Kehrli, M.D. performed a records
5 review and completed a Residual Physical Functional Capacity
6 Assessment. Tr. 357. In Dr. Kehrli's opinion, Mr. Blazer was able
7 to lift 20 pounds occasionally and 10 pounds frequently; stand
8 and/or walk for about six hours of an eight hour workday; sit about
9 six hours in an eight hour workday; climb, balance, kneel, crouch,
10 and crawl occasionally and stoop frequently. Tr. 358-60. The same
11 findings were endorsed by Sharon Eder, M.D., also a Social Security
12 reviewing physician. Tr. 364-369.

13 On October 2, 2004, Mr. Blazer was evaluated by Peter Verhey,
14 M.D., an internist, on behalf of Social Security Administration.
15 Tr. 530. Mr. Blazer reported back pain, mostly in the neck region;
16 depression for the past two years, and constant headaches beginning
17 in the back of the neck, ranging from mild to very severe. Id.

18 On examination, Mr. Blazer had normal gait and coordination
19 except for slightly decreased coordination of his right hand finger
20 to thumb alternating movements. Tr. 531-32. He refused heel and toe
21 testing. Tr. 532. He had slightly decreased thoracolumbar range of
22 motion to flexion, of approximately 70 degrees. His cervical range
23 of motion was 30 degrees on the right and 40 degrees on the left;
24 neck extension was 30 degrees and neck flexion was 30 degrees. Tr.
25 532. He had mild tenderness to palpation in the cervical spine
26 paravertebral regions, but no crepitus, effusions or deformities.

1 Id. He had decreased strength on the right grip with 4/5 and the
2 right proximal muscle groups 4/5 upper extremity. Id.

3 In Dr. Verney's opinion, Mr. Blazer had moderate degenerative
4 changes in the cervical spine, with the headaches most likely
5 related to the paravertebral spasms as well as being secondary to
6 stress, depression, and smoking two packs of cigarettes a day. Tr.
7 533. Dr. Verney concluded that Mr. Blazer could stand and walk
8 about six hours out of an eight hour day and carry 100 pounds
9 occasionally and 50 pounds frequently. Dr. Verney did not think any
10 assistive device was necessary, and did not think Mr. Blazer had
11 manipulative limitations or environmental limitations, though he
12 did think Mr. Blazer should not engage in activity that would
13 require a great deal of cervical motion and rotation of the neck.
14 Tr. 533.

15 X-rays of the lumbosacral spine taken on October 4, 2004
16 showed mild degenerative disc disease at the lumbar segments, more
17 so at L3-4. Tr. 534.

18 On October 22, 2004, reviewing psychologist Peter LeBray,
19 Ph.D. concluded that Mr. Blazer had no psychological limitations.
20 Tr. 545.

21 On October 23, 2004, reviewing physicians Mary Ann Westfall,
22 M.D., a specialist in physical medicine and rehabilitation, and
23 Richard Alley, M.D., a family practice specialist, opined that Mr.
24 Blazer could lift 20 pounds occasionally and 10 pounds frequently,
25 and that he could sit, stand or walk about six hours of an eight
26 hour work day. Doctors Westfall and Alley thought Mr. Blazer had
27

1 additional limitations on reaching in all directions, and that he
2 could only occasionally balance, crouch, or crawl. Tr. 550-51.
3 Reviewing psychologist Dorothy Anderson, Ph.D., concluded on
4 December 13, 2004, that Mr. Blazer's depression produced only mild
5 limitations on his ability to maintain social functioning and to
6 maintain concentration, persistence, or pace. Tr. 565.

7 **Hearing Testimony**

8 At the first hearing, on November 8, 2003, Mr. Blazer
9 testified that he had had headaches for the past two and a half
10 years. Tr. 395. He said he also had numbness in both arms, numb
11 fingers, a right leg that "has sometimes worked and sometimes it
12 don't." Id. Mr. Blazer said that if he stayed in one position too
13 long, he had to stand up, needing support when standing up because
14 "it feels like I'm stuck in my back." Id. He said his shoulders
15 felt "like there's jolts or electrics going out and down my arm,"
16 mostly in the left arm. His buttocks on the right side felt "like
17 somebody is pushing on the bone inside there." Id. He spent most of
18 his time in a chair, sitting in a reclining position. Tr. 396. He
19 could sit comfortably for only 15-20 minutes. Id. He said his right
20 leg gave out sometimes after he stood up. Tr. 399. Mr. Blazer
21 testified that he found himself "extensively weak in most of my
22 areas where I used to be able to not have any problems of picking
23 things up or grasping things or running." Tr. 402.

24 Mr. Blazer had not had medical care for the past two years
25 because he does not have medical insurance and cannot afford to
26 obtain care. Tr. 399-400.

1 At the second hearing, on August 10, 2006, besides taking
2 additional testimony from Mr. Blazer, the ALJ called a medical
3 expert, Robert Bigley, and a vocational expert (VE), Kay Wise. Tr.
4 573. At the hearing, Mr. Blazer testified that his legs had become
5 weaker, and that his ability to pick things up and to stand was
6 "getting to be quite extreme," such that he could "get up and fall
7 over real easy." Tr. 578. He said he had numerous headaches. Id. He
8 continued to be unable to afford medical care. Id. Mr. Blazer
9 described the weakness in his legs as being "like there is no
10 muscle there at all. It just turns to water and over I go." Tr.
11 579.

12 Mr. Blazer said he was also depressed. Id. His daily
13 activities consisted of watching television and feeding his
14 mother's dog, and if he felt good, "maybe I'll be able to mow the
15 lawn." Id. However, after exertion, he wakes up during the night
16 with pain in his legs, shoulders, arm, neck, and back. Tr. 580. He
17 said he has difficulty writing. Id. He does not use a cane or a
18 walker. Tr. 581.

19 The medical expert, an internist and retired professor of
20 medicine, testified that Mr. Blazer had "very significant
21 degenerative disc disease which may be responsible for his
22 continuing pain and disability, but I ... say maybe." Tr. 582. Dr.
23 Bigley said he thought Mr. Blazer "might meet [Listing of
24 Impairments] 1.04(a)," tr. 582, but then told the ALJ "[y]ou might
25 consider having those reports [by orthopedic and neurosurgical
26 evaluators in the record as Exhibits B-3F, 4F and 5F] reviewed by
27

1 an appropriate surgical specialist which I am not." Tr. 584. See
2 also tr. 586 ("I think to interpret the data that we have
3 conscisely, it would be very good to have a neurosurgeon or an
4 orthopedic surgeon review those three Exhibits...") The ALJ asked
5 Dr. Bigley about the doctors' reports discussing Waddell's signs,
6 inconsistent effort, non-anatomic findings, and invalid testing, to
7 which Dr. Bigley responded that he "didn't pay any attention to
8 that." Tr. 585.

9 The ALJ also called Kay Wise, a vocational expert (VE). Tr.
10 587. The ALJ asked the VE to consider a hypothetical individual of
11 Mr. Blazer's age and education and with the same work history,
12 limited to light work with a sit/stand option and no running or
13 jumping, no work on rough ground, no frequent kneeling, crawling,
14 twisting or crouching, and only occasional overhead reaching. Id.
15 The VE opined that such an individual could not return to Mr.
16 Blazer's past work in the logging industry, but that he could work
17 as an inspector of small wood products (sedentary, unskilled),
18 laminating machine off bearer (light, unskilled, with sit/stand
19 option), and assembler of printed products (light, unskilled, with
20 sit/stand option). Tr. 588-89.

21 **ALJ's Decision**

22 The ALJ found that Mr. Blazer's alleged depression was not
23 severe, based on the opinions of Doctors LeBray and Anderson. Tr.
24 414. Mr. Blazer's alleged tremors were also considered non-severe
25 because no diagnosis supporting such an impairment had been made
26 and in fact it was not even noted in the medical record. Tr. 415.

1 The ALJ found that Mr. Blazer's degenerative disc disease was a
2 severe impairment, but that it did not meet or medically equal one
3 of the listed impairments in 20 C.F.R. Part 404, Subpart P,
4 Appendix 1. The ALJ rejected the medical expert's testimony as
5 vague, not reaching a conclusion on this issue, and not indicating
6 when the impairment might have met the listing. The ALJ also cited
7 to medical evidence not supportive of nerve root compression,
8 including non-anatomic pain distribution and negative straight leg
9 raising. The ALJ concluded that Mr. Blazer was able to perform
10 light work, based on the physical capacity assessments of Doctors
11 Eder, Kehrl, Alley and Westfall, as well as the Workers
12 Compensation rating decision. Tr. 416. The ALJ noted that the
13 conclusion that Mr. Blazer could do light work was more restrictive
14 than findings by other examining physicians, including Dr. Verhey,
15 who found Mr. Blazer able to lift 50 pounds frequently and sit
16 without restriction. Id.

17 The ALJ found Mr. Blazer's testimony not entirely credible
18 about the intensity, persistence, and limiting effects of his
19 symptoms, particularly Mr. Blazer's alleged muscle weakness in his
20 leg, neck, and back, his shoulder pain and stiffness, and his hand
21 tremors. Id. The credibility finding was based on medical findings
22 inconsistent with Mr. Blazer's complaints, such as 1) Dr. Parvin's
23 finding in February 2001 that Mr. Blazer had full range of motion
24 in his upper extremities, hips, knees and ankles with normal
25 strength testing; 2) Dr. Schilperoort's finding in March 2001 that
26 Mr. Blazer had normal muscle strength and normal knee and ankle

1 deep tendon reflexes; 3) Dr. McGirr's finding in April 2001 that
2 there was no objective evidence of any weakness; 4) the July 2001
3 finding that although Mr. Blazer exhibited a slight limp, he also
4 showed a full ability to squat and rise; and 5) the observation in
5 January 2002 by Dr. Braseth that Mr. Blazer had normal strength
6 bilaterally and was observed to tie his shoes without difficulty.
7 Tr. 117.

8 The ALJ noted that the more recent examination of Mr. Blazer
9 by Dr. Verhey in October 2004 revealed normal gait and
10 coordination, and, except for decreased strength in his right grip
11 to 4/5, the rest of the examination was within normal limits. Id.
12 Dr. Verhey also reported that Mr. Blazer's sensation was intact and
13 his reflexes were normal. Id.

14 The ALJ noted that the possibility of poor effort by Mr.
15 Blazer had been raised as an issue by two examining physicians. Dr.
16 Smith recorded that Mr. Blazer's weakness was episodic and not
17 accompanied by any muscular atrophy. Doctor Schilperoort noted that
18 hypesthesia in the right leg did not follow recognizable anatomic
19 lines, included positive Waddell signs and failure of the kneeling
20 bench test. Dr. Parvin had also noted that Mr. Blazer's effort was
21 variable, and Dr. Braseth had opined that Mr. Blazer's pain
22 complaints were out of proportion to objective findings.

23 The ALJ concluded that Mr. Blazer retained the residual
24 functional capacity to do light work with some additional
25 restrictions. He was unable to return to his past relevant work,
26 but was able to perform other work existing in the national
27

1 economy, including small wood product inspector, laminating machine
2 off-bearer, and assembler of printed products. Tr. 419.

3 **Standard**

4 The court must affirm the Commissioner's decision if it is
5 based on proper legal standards and the findings are supported by
6 substantial evidence in the record. Meanel v. Apfel, 172 F.3d 1111,
7 1113 (9th Cir. 1999). Substantial evidence is such relevant evidence
8 as a reasonable mind might accept as adequate to support a
9 conclusion. Richardson v. Perales, 402 U.S. 389, 401 (1971);
10 Andrews v. Shalala, 53 F.3d 1035, 1039 (9th Cir. 1995). In
11 determining whether the Commissioner's findings are supported by
12 substantial evidence, the court must review the administrative
13 record as a whole, weighing both the evidence that supports and the
14 evidence that detracts from the Commissioner's conclusion. Reddick
15 v. Chater, 157 F.3d 715, 720 (9th Cir. 1998). However, the
16 Commissioner's decision must be upheld even if "the evidence is
17 susceptible to more than one rational interpretation." Andrews, 53
18 F.3d at 1039-40.

19 The initial burden of proving disability rests on the
20 claimant. Meanel, 172 F.3d at 1113; Johnson v. Shalala, 60 F.3d
21 1428, 1432 (9th Cir. 1995). To meet this burden, the claimant must
22 demonstrate an "inability to engage in any substantial gainful
23 activity by reason of any medically determinable physical or mental
24 impairment which ... has lasted or can be expected to last for a
25 continuous period of not less than 12 months[.]" 42 U.S.C. §
26 423(d) (1) (A) .

1 A physical or mental impairment is "an impairment that results
2 from anatomical, physiological, or psychological abnormalities
3 which are demonstrable by medically acceptable clinical and
4 laboratory diagnostic techniques." 42 U.S.C. § 423(d)(3). This
5 means an impairment must be medically determinable before it is
6 considered disabling.

7 The Commissioner has established a five-step sequential
8 process for determining whether a person is disabled. Bowen v.
9 Yuckert, 482 U.S. 137, 140 (1987); 20 C.F.R. §§ 404.1520, 416.920.

10 In step one, the Commissioner determines whether the claimant
11 has engaged in any substantial gainful activity. 20 C.F.R. §§
12 404.1520(b), 416.920(b). If not, the Commissioner goes to step two,
13 to determine whether the claimant has a "medically severe
14 impairment or combination of impairments." Yuckert, 482 U.S. at
15 140-41; 20 C.F.R. §§ 404.1520(c), 416.920(c). That determination is
16 governed by the "severity regulation," which provides:

17 If you do not have any impairment or combination of
18 impairments which significantly limits your physical or
19 mental ability to do basic work activities, we will find
20 that you do not have a severe impairment and are,
21 therefore, not disabled. We will not consider your age,
22 education, and work experience.

23 §§ 404.1520(c), 416.920(c). If the claimant does not have a severe
24 impairment or combination of impairments, the disability claim is
25 denied. If the impairment is severe, the evaluation proceeds to the
26 third step. Yuckert, 482 U.S. at 141.

27 In step three, the Commissioner determines whether the
28 impairment meets or equals "one of a number of listed impairments
that the [Commissioner] acknowledges are so severe as to preclude

1 substantial gainful activity." Yuckert, 482 U.S. at 140-41. If a
2 claimant's impairment meets or equals one of the listed
3 impairments, he is considered disabled without consideration of her
4 age, education or work experience. 20 C.F.R. s 404.1520(d),
5 416.920(d).

6 If the impairment is considered severe, but does not meet or
7 equal a listed impairment, the Commissioner considers, at step
8 four, whether the claimant can still perform "past relevant work."
9 20 C.F.R. §§ 404.1520(e), 416.920(e). If the claimant can do so, he
10 is not considered disabled. Yuckert, 482 U.S. at 141-42. If the
11 claimant shows an inability to perform his past work, the burden
12 shifts to the Commissioner to show, in step five, that the claimant
13 has the residual functional capacity to do other work in
14 consideration of the claimant's age, education and past work
15 experience. Yuckert, 482 U.S. at 141-42; 20 C.F.R. §§ 404.1520(f),
16 416.920(f).

17 Discussion

18 Mr. Blazer contends that the ALJ erred in 1) rejecting his
19 testimony; 2) determining his residual functional capacity; 3)
20 rejecting the testimony of the medical expert who testified at the
21 hearing; and 4) rejecting the opinions of other medical sources.

22 1. Rejection of Mr. Blazer's testimony

23 Mr. Blazer contends that the ALJ erred in rejecting his
24 testimony about his symptoms, particularly those involving his
25 arms, hands and fingers. He argues that he has trouble with his
26 arms, hands and fingers because of pain and numbness arising from
27

1 the cervical disc disease, and that because the ALJ improperly
2 rejected his testimony on these symptoms, the testimony should be
3 credited as a matter of law under Varney v. Secretary, 859 F.2d
4 1396 (9th Cir. 1988).

5 Once a claimant shows an underlying impairment and a causal
6 relationship between the impairment and some level of symptoms,
7 clear and convincing reasons are needed to reject a claimant's
8 testimony if there is no evidence of malingering. Smolen v. Chater,
9 80 F.3d 1273, 1281-82 (9th Cir. 1996). A claimant's testimony about
10 pain may be disregarded if it is unsupported by medical evidence
11 which supports the existence of such symptoms as pain, although the
12 claimant need not submit medical evidence which supports the degree
13 of those symptoms. Bunnell v. Sullivan, 947 F.2d 341, 347 (9th Cir.
14 1991) (en banc). See also Vertigan v. Halter, 260 F.3d 1044 (9th Cir.
15 2001) (fact that claimant's testimony not fully corroborated by
16 objective medical findings, in and of itself, is not clear and
17 convincing reason for rejecting it).

18 With regard to his musculoskeletal symptoms, Mr. Blazer
19 testified that he was unable to pick things up, that he had
20 numbness in both arms and in his fingers, and that his right leg
21 was so weak the muscles felt like water and caused him to fall. He
22 also stated that he had pain in his legs, shoulders, arms, neck and
23 back. The ALJ found this testimony not fully credible.

24 The objective clinical evidence supports the existence of
25 degenerative disc disease of the lumbar and cervical spine, with
26 resulting radiculopathy. But there are no objective medical
27

1 findings that support Mr. Blazer's testimony about inability to use
2 the arms and fingers; in fact, the objective findings contradict
3 this testimony. See, e.g., tr. 317, 326 (February 2001 examinations
4 by Dr. Parvin showing no limitation of range of motion of upper
5 extremities); tr. 351 (January 2002 examination by Dr. Braseth
6 reporting full motor strength in both arms, intact grip strength,
7 ability to manipulate and tie shoes without difficulty); tr. 531
8 (October 2004 examination by Dr. Verhey showing normal range of
9 motion of shoulder, elbow, wrist and thumb joints, decreased
10 strength on right grip with 4/5 and right proximal muscle groups
11 4/5 upper extremity, but all other strength within normal limits);
12 and id. (Dr. Verney's conclusion that Mr. Blazer could lift up to
13 100 pounds occasionally and 50 pounds frequently).

14 The ALJ's rejection of Mr. Blazer's testimony about symptoms
15 was based on its inconsistency with other testimony that he could
16 mow his mother's lawn,⁴ dress himself, and write. It was also
17 premised on findings by different examining physicians that Mr.
18 Blazer's efforts during examinations were variable, and that he
19 exhibited signs indicating that his musculoskeletal pain was non-
20 organic in nature. Because the ALJ found nothing in the record to
21 indicate a mental disorder, she concluded that "the existence of
22 malingering comes to the fore." Tr. 117. The record supports the
23 ALJ's finding of malingering.

24 The evidence contains observations by several different
25

26 ⁴ Which Mr. Blazer described at the hearing as "a pretty
27 good size lot." Tr. 580.

1 doctors that suggested exaggeration of symptoms and pain. In March
2 2001, Dr. Parvin thought Mr. Blazer presented a somewhat "confusing
3 picture," including variable effort and some Waddell's signs. The
4 same month, Dr. Schilperoort observed that Mr. Blazer's complaints
5 of loss of feeling in the right leg did not follow recognizable
6 anatomic lines, and concluded that the complaint was invalid. In
7 July 2001, Dr. Smith noted, as had Dr. Schilperoort, that Mr.
8 Blazer's right leg hypesthesia was in an anomalous stocking
9 distribution that covered the entire right leg from the junction of
10 the thigh and abdomen to the toes, including the sole of the foot.⁵
11 Dr. Smith also noted giveaway in all muscles on the right side,
12 although thigh and calf circumferences did not indicate atrophy,
13 and were in fact larger on the right than on the left. Dr. Smith,
14 like Dr. Parvin, noted positive Waddell's signs, as well as Mr.
15 Blazer's failure of the kneeling bench test. Dr. Smith did not
16 think Mr. Blazer's physical complaints were valid. In January 2002,
17 Dr. Braseth opined that Mr. Blazer's pain was out of proportion to
18 his examination.

19 In view of the absence of objective clinical findings to
20 support the existence of a condition that could cause Mr. Blazer's
21 alleged inability to use his hands, arms and shoulders, and the
22 affirmative evidence of malingering and exaggeration of symptoms
23 with respect to the right leg, the ALJ was entitled to disregard
24

25 ⁵ On other occasions, sensory examination has been intact.
26 See, e.g., tr. 532 (October 2004 examination by Dr. Verney); tr.
27 351 (January 2002 examination by Dr. Braseth; only exception was
decreased sensation over volar ulnar aspect of left forearm).

1 Mr. Blazer's symptom testimony. The ALJ's adverse credibility
2 finding was based on both malingering and other inconsistencies in
3 Mr. Blazer's testimony, was based on substantial evidence in the
4 record.

5 2. Determination of residual functional capacity

6 Mr. Blazer argues that the ALJ erred in not including in his
7 residual functional capacity assessment the symptoms to which Mr.
8 Blazer testified in his arms, hands and fingers, particularly the
9 "electric jolt" pain in his arms, numbness in his arms, hands and
10 fingers, and difficulty with grasping and holding.

11 In arriving at her assessment of Mr. Blazer's residual
12 functional capacity, the ALJ relied primarily on the opinions of
13 non-examining, reviewing physicians Eder, Kehrli, Alley and
14 Westfall. She relied on the opinions of Doctors Alley and Westfall
15 because they were the most recent assessments. Tr. 416.

16 Mr. Blazer argues that Dr. Bigley's testimony establishes the
17 error of the ALJ's residual functional capacity assessment. For
18 reasons discussed below, I find no error in the ALJ's rejection of
19 Dr. Bigley's testimony.

20 Furthermore, the ALJ's disbelief of Mr. Blazer's allegations
21 of numbness and difficulty handling and grasping things with his
22 hands is supported by substantial evidence of malingering and the
23 absence of objective clinical findings to support these
24 allegations. Even without the opinions of Doctors Eder, Kehrli,
25 Alley and Westfall, this evidence is sufficient to support the
26 ALJ's decision not to include Mr. Blazer's alleged symptoms in his
27

1 arms, hands and fingers in her functional capacity assessment.

2
3 3. Rejection of medical expert's testimony

4 Mr. Blazer asserts that the ALJ improperly rejected the
5 testimony of Dr. Bigley, and that she should have accepted his
6 testimony that Mr. Blazer might meet one of the impairments
7 contained in the Listing of Impairments. Mr. Blazer acknowledges
8 that Dr. Bigley's opinion that he might meet a listing was with the
9 proviso that the myelogram, which Dr. Parvin had recommended and
10 Mr. Blazer refused to have, would be necessary to establish whether
11 Mr. Blazer's condition would satisfy this listing, and that Dr.
12 Bigley thought the ALJ should confirm his own opinions with those
13 of a neurosurgeon or an orthopedic surgeon, which Dr. Bigley was
14 not.

15 I find no error in the ALJ's rejection of Dr. Bigley's
16 opinions as vague. Nor do I agree with Mr. Blazer's argument that
17 Dr. Bigley's testimony, even if accepted, establishes that he
18 satisfies the requirements of one of the Listings of Impairments.
19 Most importantly, Dr. Bigley's opinion never got beyond the
20 possibility of meeting a listed impairment. Further, Dr. Bigley
21 qualified his opinion with testimony that a myelogram would be
22 necessary to confirm the prerequisites for a listing, and that his
23 opinions would need to be confirmed with a neurosurgeon or an
24 orthopedic surgeon. Dr. Bigley disclaimed his qualification to
25 express the opinion himself.

26 4. Rejection of other medical opinions

1 Mr. Blazer asserts that the ALJ should have accepted 1) Dr.
2 Smith's findings, which supported the workers compensation
3 disability award of 29% disability for his low back; 2) Dr. Smith's
4 opinion that Mr. Blazer was limited to a sedentary job; 3) Dr.
5 Parvin's opinion that cervical stenosis at C5-6 and C6-7
6 contributed to Mr. Blazer's left upper arm radiculopathy; 4) Dr.
7 Parvin's opinion that if Mr. Blazer elected not to have surgery,
8 progression of his cervical degeneration might result in loss of
9 the use of his arms or legs; 5) Dr. Parvin's opinion that, given
10 Mr. Blazer's subjective complaints and the MRI of the cervical
11 spine, he would not be able to continue in his current line of
12 work; and 6) Dr. Parvin's opinion that if Mr. Blazer did not have
13 the recommended surgery, "I feel that he will be significantly
14 disabled."

15 As a general rule, the opinions of a treating physician carry
16 more weight than an examining physician's, and an examining
17 physician's opinion carries more weight than a reviewing
18 physician's. Holohan v. Massanari, 246 F.3d 1195, 1201-02 (9th Cir.
19 2001). The opinions of specialists concerning matters relating to
20 their specialty have more weight than those of nonspecialists, see
21 id. and 20 C.F.R. § 404.1527(d) (5).

22 An ALJ may rely on the medical opinion of a non-treating
23 doctor instead of the contrary opinion of a treating doctor only if
24 she or he provides "specific and legitimate" reasons supported by
25 substantial evidence in the record. Holohan at 1202. Similarly, an
26 ALJ may reject a treating physician's controverted opinion on the

1 ultimate issue of disability only with "specific and legitimate
2 reasons." Id.

3 Dr. Parvin was a treating physician and Dr. Smith was an
4 examining physician.

5 Dr. Smith's opinion that Mr. Blazer had a 29% disability due
6 to degenerative disease in his lumbar spine does not establish that
7 Mr. Blazer is disabled from a Social Security standpoint. The ALJ
8 accepted this opinion to some extent in her finding that Mr.
9 Blazer's degenerative disc disease of the cervical and lumbar spine
10 were severe impairments. I find no error here.

11 The evidence does not support Mr. Blazer's contention that Dr.
12 Smith thought he was limited to sedentary work. Dr. Smith stated
13 that Mr. Blazer was able to

14 lift and carry 15 pounds on a frequent basis and 25
15 pounds on an occasional basis. ... It would take a [PCE]
16 to confirm [Mr. Blazer's estimates that he could sit 30-
17 45 minutes at a time, stand 10-20 minutes and walk for 20
18 minutes.] He is permanently precluded from activities
19 requiring frequent stooping, crawling and twisting.
20 Climbing, reaching, crouching, kneeling, balancing,
21 pushing and pulling could be done on a frequent basis. He
22 could probably work the same number of hours now that he
23 did prior to his injury but today's examination suggests
24 that it would have to be at a much lower level of
25 activity, *possibly even at a sedentary level.*

26 Tr. 341 (emphasis added). The ALJ's conclusions conform to all of
27 these opinions. Mr. Blazer's work prior to his injury was heavy to
28 very heavy work. See tr. 587 (VE's testimony). The ALJ found that
Mr. Blazer could not return to this work. The ALJ asked the VE to
consider an individual limited to light work with a sit/stand
option with no running, jumping, or work on rough ground, and no
frequent kneeling, crawling, twisting, or crouching. Light work

1 requires the ability to lift no more than 20 pounds at a time with
2 frequent lifting or carrying of objects weighing up to 10 pounds.
3 20 C.F.R. § 416.967(b), a standard that is more restrictive than
4 the lifting and carrying of 15 pounds frequently and 25 pounds
5 occasionally as posited by Dr. Smith. The ALJ included Dr. Smith's
6 limitations on stooping, crawling, and twisting in the hypothetical
7 to the VE, and even included no frequent kneeling, crawling,
8 twisting or crouching, in contrast to Dr. Smith's opinion that Mr.
9 Blazer was not precluded from these activities.

10 Dr. Smith did not say that Mr. Blazer was limited to sedentary
11 work, and in fact the specific work limitations Dr. Smith gave do
12 not conform to the requirements of sedentary work. Dr. Smith merely
13 said that Mr. Blazer might *possibly* be limited to sedentary work.
14 The ALJ's findings need not conform to testimony about
15 possibilities.

16 Dr. Parvin's opinions that if Mr. Blazer elected not to have
17 surgery, progression of his cervical degeneration *might* have
18 certain results, and his opinion that absent the recommended
19 surgery, Mr. Blazer *would be* significantly disabled do not suffice
20 to establish disability, because they are both contingent
21 statements and there is no way to determine what Dr. Parvin meant
22 by "significantly disabled." This is again no more than testimony
23 about possible future limitations. I find no error in the ALJ's
24 refusal to adopt these opinions.

25 The ALJ made a finding that Mr. Blazer could not return to his
26 past relevant work. This is consistent with Dr. Parvin's opinion
27

1 that, given Mr. Blazer's subjective complaints and the MRI of the
2 cervical spine, he would not be able to continue in his current
3 line of work.

4 I conclude that the ALJ adopted many of the opinions of
5 Doctors Smith and Parvin; to the extent that she did not accept
6 opinions that were contingent, speculative, or futuristic, I find
7 no error.

8 **Conclusion**

9 I recommend that the Commissioner's decision be affirmed, and
10 that this case be dismissed.

11 **Scheduling Order**

12 The above Findings and Recommendation will be referred to a
13 United States District Judge for review. Objections, if any, are
14 due September 8, 2008. If no objections are filed, review of the
15 Findings and Recommendation will go under advisement on that date.
16 If objections are filed, a response to the objections is due
17 September 22, 2008, and the review of the Findings and
18 Recommendation will go under advisement on that date.

19 Dated this 22nd day of August 2008.

20
21 /s/ Dennis James Hubel

22
23 Dennis James Hubel
24 United States Magistrate Judge
25
26
27